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November 16, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir/Madam:

Transmitted herewith for filing is the patent application of **WEIHONG XIONG and DINESH PATEL** for **TRANSDERMAL DELIVERY SYSTEM FOR ALKALOIDS OF ACONITUM SPECIES** comprising 74 pages of specification and claims:

- ☒ Priority to U.S. Provisional Application No.60/166,497 filed on November 19, 1999, in the United States Patent & Trademark Office is hereby claimed.

Enclosed also are:

- sheet(s) of drawings (informal)
- ☒ No fees are enclosed.
- ☒ No Declaration and Petition, Power of Attorney or Assignment are enclosed.
- ☒ Applicant claims small entity status under 37 C.F.R. §1.27
- ☒ A Certificate of Mailing by "Express Mail" certifying a filing date of November 16, 2000, by use of Express Mail Label No. EL327005013US.

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service, Express Mail, "Post Office to Addressee" under 37 C.F.R. §1.10 on the date indicated below and is addressed to Assistant Commissioner for Patents, Washington, DC 20231.

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Vanessa M. Vratzke
Nov. 16, 2000

JC893 U.S. PTO

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- ☐ Information Disclosure Statement under 37 C.F.R. § 1.97, PTO Form-1449 with listed references attached (if indicated as being attached by the Information Disclosure Statement).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 20-0100.
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
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- ☐ The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.
- ☒ Please send all future correspondence and direct all telephone calls to the attention of the undersigned.

Dated this 16th day of November, 2000.

Respectfully submitted,



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MWW/vm
Enclosures

Ullrich, J. 1997. *Die Tierwelt der Elbe*. 2. Aufl. 1. Band: Säugetiere. 2. Band: Vögel. 3. Band: Fische. 4. Band: Amphibien und Reptilien. 5. Band: Insekten. 6. Band: Spinnentiere, Tausendfüßler, Schnecken. 7. Band: Meerestiere. 8. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 9. Band: Insekten. 10. Band: Spinnentiere, Tausendfüßler, Schnecken. 11. Band: Meerestiere. 12. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 13. Band: Insekten. 14. Band: Spinnentiere, Tausendfüßler, Schnecken. 15. Band: Meerestiere. 16. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 17. Band: Insekten. 18. Band: Spinnentiere, Tausendfüßler, Schnecken. 19. Band: Meerestiere. 20. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 21. Band: Insekten. 22. Band: Spinnentiere, Tausendfüßler, Schnecken. 23. Band: Meerestiere. 24. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 25. Band: Insekten. 26. Band: Spinnentiere, Tausendfüßler, Schnecken. 27. Band: Meerestiere. 28. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 29. Band: Insekten. 30. Band: Spinnentiere, Tausendfüßler, Schnecken. 31. Band: Meerestiere. 32. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 33. Band: Insekten. 34. Band: Spinnentiere, Tausendfüßler, Schnecken. 35. Band: Meerestiere. 36. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 37. Band: Insekten. 38. Band: Spinnentiere, Tausendfüßler, Schnecken. 39. Band: Meerestiere. 40. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 41. Band: Insekten. 42. Band: Spinnentiere, Tausendfüßler, Schnecken. 43. Band: Meerestiere. 44. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 45. Band: Insekten. 46. Band: Spinnentiere, Tausendfüßler, Schnecken. 47. Band: Meerestiere. 48. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 49. Band: Insekten. 50. Band: Spinnentiere, Tausendfüßler, Schnecken. 51. Band: Meerestiere. 52. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 53. Band: Insekten. 54. Band: Spinnentiere, Tausendfüßler, Schnecken. 55. Band: Meerestiere. 56. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 57. Band: Insekten. 58. Band: Spinnentiere, Tausendfüßler, Schnecken. 59. Band: Meerestiere. 60. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 61. Band: Insekten. 62. Band: Spinnentiere, Tausendfüßler, Schnecken. 63. Band: Meerestiere. 64. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 65. Band: Insekten. 66. Band: Spinnentiere, Tausendfüßler, Schnecken. 67. Band: Meerestiere. 68. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 69. Band: Insekten. 70. Band: Spinnentiere, Tausendfüßler, Schnecken. 71. Band: Meerestiere. 72. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 73. Band: Insekten. 74. Band: Spinnentiere, Tausendfüßler, Schnecken. 75. Band: Meerestiere. 76. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 77. Band: Insekten. 78. Band: Spinnentiere, Tausendfüßler, Schnecken. 79. Band: Meerestiere. 80. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 81. Band: Insekten. 82. Band: Spinnentiere, Tausendfüßler, Schnecken. 83. Band: Meerestiere. 84. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 85. Band: Insekten. 86. Band: Spinnentiere, Tausendfüßler, Schnecken. 87. Band: Meerestiere. 88. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 89. Band: Insekten. 90. Band: Spinnentiere, Tausendfüßler, Schnecken. 91. Band: Meerestiere. 92. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 93. Band: Insekten. 94. Band: Spinnentiere, Tausendfüßler, Schnecken. 95. Band: Meerestiere. 96. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien. 97. Band: Insekten. 98. Band: Spinnentiere, Tausendfüßler, Schnecken. 99. Band: Meerestiere. 100. Band: Säugetiere, Vögel, Fische, Amphibien und Reptilien.



TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

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Of the various types of pain, chronic pain caused by degenerative or inflammatory diseases is considered to be especially intolerable because of its constant presence. Many diseases, such as cancer and arthritis, may cause chronic pain and inflammation, which is so debilitating that it virtually incapacitates the afflicted individual. Therefore, research efforts in the pharmaceutical and medical sciences continually seek formulations of analgesic and anti-inflammatory compounds, which are capable of long lasting high potency.

The duration of potent activity is especially important when treating chronic pain in order to minimize administration frequency. By reducing administration frequency, intermittent pain, which occurs as one dosage wears off, and before another is administered, is greatly reduced.

Many analgesics such as codeine, tramadol, and dextropropoxyphene have been used to manage mild to moderate pain. Additionally, for more severe pain, opioids such as morphine, methadone, oxycodone, buprenorphine, hydromorphone, fentanyl, and heroin have been used. Unfortunately, heavy use of opioids, or other narcotics often leads to chemical dependence, or addiction.

Chemical dependence is often extremely difficult and painful to overcome. One common treatment involves

administering opioids and opioid analgesics in decreasing doses over an extended duration. For example, methadone is known for treating heroin addiction by being administered in gradually decreasing amounts. While such regimens do tend to alleviate many of the withdrawal symptoms associated with detoxification, they take months to complete and are therefore only marginally successful in helping the addict take a permanent step away from chemical dependence.

SUMMARY OF THE INVENTION

It has been recognized that an analgesic agent formulation, which can be delivered with long lasting potency and at infrequent intervals would be advantageous. Additionally, it has been recognized that an analgesic agent which also imparts an anti-inflammatory effect, and which imparts minimal side effects, such as drug dependency would be advantageous.

Plant extracts from different species of *Aconitum* plant have been employed in many holistic medicine cultures for their various medicinal and positive health properties. For example, traditional Chinese medicine has long used *Aconitum* extracts for their various analgesic, anti-rheumatic, anti-narcotic, and antipyretic properties. These properties have

a permeation enhancer selected from the group consisting of:
fatty acids, fatty acid esters, fatty alcohols, fatty acid
esters of lactic acid, fatty acid esters of glycolic acid,
amides, amines, pyrrolidones, glycerol triesters, terpenes,
5 surfactants, complexing agents, biologics, their salts, and
mixtures thereof. In another aspect, the blood plasma
concentration of an aconitine alkaloid achieved is from about
5 to about 200 ng/ml. In another aspect, the transdermal
formulation achieves the blood plasma level of from about 0.5
10 to about 400 ng/ml within about 0.25 to about 18 hours after
administration of the formulation. In yet another aspect, the
blood plasma level may be achieved within about 0.5 to about
12 hours after administration.

The transdermal formulation may be configured to provide
15 an extended or sustained aconitine alkaloid release. In one
aspect, a single dosage of the transdermal formulation may be
sufficient to achieve and sustain the aconitine alkaloid blood
plasma level of from about 0.5 to 400 ng/ml for a duration of
at least about 24-96 hours.

20 Various types of aconitine alkaloids may be effective in
ameliorating pain and inflammation. In one aspect, the
aconitine alkaloid may be a member selected from the group
consisting of lappaconitine, N-deacetyl-lappaconitine,

derivatives, analogs, prodrugs, and mixtures thereof.

The transdermal formulation of the present invention may also contain various other positive health-imparting agents.

In one aspect, the health imparting agent may be a member
5 selected from the group consisting of: vitamins, minerals,
amino acids, herbal and botanical extracts, anti-oxidants, and
mixtures thereof. In another aspect, the health-imparting
agent may be a vitamin. In a further aspect, the health-
imparting substance may be a mineral. In yet another aspect,
10 the health-imparting agent may be an amino acid. In yet
another aspect, the health-imparting agent may be an herbal
extract. In another aspect of the invention, the health-
imparting agent may be a botanical extract. In a further
aspect of the invention, the health-imparting substance may
15 be an anti-oxidant.

Various transdermal formulations may be used as part of the present invention for transdermally delivering aconitine alkaloids. In one aspect, the transdermal formulation may be a topical formulation. In another aspect, the transdermal formulation may be an adhesive matrix patch. In yet another aspect, the transdermal formulation may be a liquid reservoir system, or patch.

single transdermal administration.

The method of the present invention further encompasses the co-delivery of an aconitine alkaloid and additional pain and inflammation ameliorating substances, such as the narcotic agents and non-narcotic agents recited herein. Further, good health imparting substances, as contained herein may additionally be co-delivered with the aconitine alkaloid of the present invention.

There has thus been outlined, rather broadly, the more important features of the invention so that the detailed description thereof that follows may be better understood, and so that the present contribution to the art may be better appreciated. Other features of the present invention will become clearer from the following detailed description of the invention, taken with the accompanying claims, or may be learned by the practice of the invention.

DETAILED DESCRIPTION

Before the present formulation and method for achieving specified aconitine alkaloid blood plasma levels are disclosed and described, it is to be understood that this invention is not limited to the particular process steps and materials disclosed herein, but is extended to equivalents thereof as

by partial extracted and further synthesis.

As used herein, "analgesic" refers to a compound or agent, which imparts a pain and/or inflammatory ameliorating effect when administered.

5 As used herein, "narcotic," "narcotic agent," "opioid analgesic," and "opioid analgesic agent" may be used interchangeably, and refer to an analgesic, which ameliorates pain by binding to opioid receptors.

10 As used herein, "non-narcotic" refers to an analgesic, which ameliorates pain by a mechanism other than binding to, or otherwise occupying, opioid receptors.

15 As used herein, "treatment agent" or "drug" may be used interchangeably, and refer to a physiologically active substance other than huperzine, or other cholinesterase inhibitors, which may be used to treat or improve a physiological condition. Examples of treatment agents include, but are not limited to: hormones, anticholinergics, anti-migraines, antiemetics, and mixtures thereof.

20 As used herein, "positive health benefit conveying, or imparting agent" and similar expressions refer to any substance either synthesized or extracted from a natural source, which is beneficial to the human body when imparted thereto. Examples of general positive health benefit

conveying substances include, but are not limited to vitamins, minerals, anti-oxidants, amino acids, botanical and herbal extracts.

As used herein, "aconitine delivery formulation,"
 5 "aconitine alkaloid delivery formulation," "transdermal delivery formulation," or "transdermal formulation" refer to any aconitine containing device, system, product, chemical combination, or mechanism capable of being applied to, or against the skin, to effect transdermal delivery, of aconitine
 10 alkaloids.

As used herein, the term "skin" refers to any membrane of the human body to which a chemical formulation or composition may be applied including the external skin of the body, the mucosa membranes of the nasal, oral, vaginal, and
 15 rectal cavities.

As used herein, the term "transdermal" or "percutaneous" delivery means delivery of a substance or agent, by passage into and through the skin. Hence the terms "transdermal" and "transmucosal" are used interchangeably unless specifically
 20 stated otherwise. Likewise, the terms "skin", "derma", "epidermis", "mucosa", and the like shall also be used interchangeably unless specifically stated otherwise.

reference.

As used herein, "effective amount" refers to the minimal amount of a substance or agent, which is sufficient to achieve a desired therapeutic effect. Therefore, when used in connection with an aconitine alkaloid, effective amount connotes an amount of such agent, which is sufficient to achieve a desired aconitine alkaloid plasma level. Such plasma levels may be achieved within and sustained for various time intervals as determined by the parameters of each particular formulation. The type and amount of aconitine alkaloid, the type and amount of inert carrier, the size of the transdermal formulation, as well as the presence and amount of specific penetration enhancers may all be adjusted to arrive at a formulation which achieves the desired blood levels within a specific time interval. One of ordinary skill in the transdermal arts would be able to readily determine the amount and type of each component in the combination, which are required to achieve the target blood levels within a specified time frame.

By the term "matrix", "matrix system", or "matrix patch" is meant a pre-determined amount of an aconitine alkaloid dissolved or suspended in a polymeric carrier or phase, in one aspect a pressure-sensitive adhesive, that can also contain

individual numerical values or sub-ranges encompassed within that range as if each numerical value and sub-range is explicitly recited.

For example, a concentration range of 0.5 to 400 ng/ml should be interpreted to include not only the explicitly recited concentration limits of 0.5 ng/ml and 400 ng/ml, but also to include individual concentrations within that range, such as 0.5 ng/ml, 0.7 ng/ml, 1.0 ng/ml, 5.2 ng/ml, 8.4 ng/ml, 11.6 ng/ml, 14.2 ng/ml, 100 ng/ml, 200 ng/ml, 300, ng/ml, and sub-ranges such as 0.5-2.5 ng/ml, 4.8-7.2 ng/ml, 6-14.9 ng/ml, 55 ng/ml, 85 ng/ml, 100-200 ng/ml, 117, ng/ml, 175 ng/ml, 200-300 ng/ml, 225 ng/ml, 250ng/ml, and 300-400 ng/ml, etc. This interpretation should apply regardless of the breadth of the range or the characteristic being described.

B. The Invention

The present invention encompasses a transdermally administered aconitine alkaloid formulation for ameliorating pain and/or inflammation. In one aspect, the aconitine alkaloid is administered in an amount sufficient to affect and maintain an aconitine alkaloid blood plasma level of about 0.5 ng/mL to about 400 ng/mL. In another aspect, the blood plasma level may be about 5 ng/mL to about 200 ng/mL.

The time frame for achieving such blood plasma levels may be determined by such parameters as the type and size of the aconitine alkaloid formulation, the amount of alkaloid present in the formulation, and the skin flux rate achieved by the formulation. Further, the flux rate may be determined in part by the presence and amount of various penetration enhancers.

Elements such as patch size, aconitine alkaloid content and concentration, enhancer amount, and enhancer type may all be coordinated in order to achieve the desired blood plasma levels within a desired amount of time, as can be readily determined by one skilled in the art. Others physiological factors, such as variations in individual skin type and permeability may effect the ultimate aconitine alkaloid blood plasma level and the time frame in which it is achieved.

The aconitine blood plasma levels, which will result from a particular aconitine alkaloid formulation determined using the following First Order Elimination and Zero-Order Input equations in connection with single compartment skin flux data.

$$Cp = \frac{k_0}{V_d K_{el}} \{1 - e^{-K_{el}t}\} \quad \text{During input period } (t \geq T)$$

$$Cp = \frac{k_0}{V_d K_{el}} \{1 - e^{-K_{el}T}\} e^{-K_{el}(t-T)} \quad \text{After input period } (t < T)$$

Cp: Plasma concentration (ng/ml or µg/ml)
 k₀: Zero-order input rate (µg/h, interval skin flux)
 Cl: Clearance = V_d*K_{el} (L/hr/kg)
 V_d: Volume of distribution (L or L/kg)
 5 Kel: First-order elimination rate constant
 T: Duration of zero-order input
 t: t time point of plasma concentration

As a result, the coordination of the various above-recited
 aconitine alkaloid transdermal formulation parameters in order
 10 to achieve and sustain a desired aconitine alkaloid blood
 plasma level may readily be determined by one skilled in the
 art.

In one aspect, permeation rates of aconitine alkaloids
 through living human skin may be in the range of about 0.1
 15 µg/cm²/hr to about 50 µg/cm²/hr. In another aspect,
 therapeutic blood levels may be achieved in about 0.25-18
 hours after initial formulation application. In a further
 aspect, therapeutic blood levels may be achieved in about 0.5
 to about 12 hours after initial formulation application. In
 20 yet another aspect, the aconitine alkaloid dosage arriving
 from a limited area of skin may be from about .1-20 mg over
 a period of 24 hours. In yet another aspect, the aconitine
 alkaloid dosage arriving from a limited area of skin may be
 from about 1-10 mg over a 24-hour period. In one aspect, the
 25 dosage for lappaconitine may be from about 4-10 mg over a
 period of about 24 hours. In an additional aspect, the dosage

However, these general parameters are not limitations on the way in which the desired blood serum levels may be achieved. Different permeations, times, and amounts may be used to effect the desired blood levels by employing a formulation which uses different parameters.

By adjusting parameters such as the size and type of the transdermal formulation, the speed and duration of aconitine alkaloid delivery may be varied. In one aspect of the present invention, a single dosage of the transdermal delivery formulation may achieve and sustain the aconitine alkaloid plasma level of from about 0.5 to about 400 ng/ml for a duration of at least about 24-96 hours.

Specific aconitine alkaloid delivery formulation types include but are not limited to: 1) topical formulations such as ointments, lotions, gels, pastes, mousses, aerosols, and skin creams; 2) transdermal patches such as adhesive matrix patches and liquid reservoir systems; 3) transmucosal tablets

such as buccal or sublingual tablets or lozenges; and 4) suppositories. In short, any transdermal administration form is acceptable.

In one aspect, the aconitine alkaloid delivery formulation may also include a permeation enhancer, or mixture of permeation enhancers in order to increase the permeability of the skin to aconitine alkaloids. A wide range of known permeation enhancers have been found to enhance the delivery of aconitine alkaloids and include but are not limited to: fatty acids, fatty acid esters, fatty alcohols, fatty acid esters of lactic acid or glycolic acid and their salts, amides, amines, pyrrolidones, glycerol triesters, terpenes, classical surfactants, organic acids, surfactants, complexing agents, biologics, and mixtures thereof.

One enhancer that has been found to be unacceptable is Azone. Although Azone may provide penetration enhancement of various substances, the side effects experienced are considered intolerable. Particularly, Azone has been deemed unusable because of the severe skin irritation that results. Not only does Azone cause irritation to all layers of the epidermis, but also irritates all the dermis layers as well. Further, the skin irritation caused by Azone is irreversible damage, which results in alteration of the tissue and

scarring.

Specific examples of acceptable fatty acids include but are not limited to, oleic acid, alkanolic acids, capric acid, hexanoic acid, lactic acid, lauric acid, linoleic acid and mixtures thereof.

Specific examples of acceptable fatty acid esters include but are not limited to methyl laurate, glycerol monooleate (GMO), sorbitan monooleate (SMO), glycerol monolaurate (GML), glycerol monolinoleate (GMLO), isopropyl myristate, isopropyl palmitate, methyl propionate, monoglycerides, propylene glycol monolaurate, sorbitan monolaurate, and mixtures thereof.

Specific examples of acceptable fatty alcohols include but are not limited to lauryl alcohol, caprylic alcohol, myristyl alcohol, cetyl alcohol, aliphatic alcohols, linolenyl alcohol, nerolidol, oleyl alcohol, and mixtures thereof.

Specific examples of acceptable fatty acid esters of lactic acid or glycolic acid or their salts include but are not limited to lauroyl glycolate, sodium lauryol glycolate, caproyl glycolate, sodium caproyl glycolate, cocyl glycolate, sodium cocyl glycolate, isostearoyl glycolate, tromethamine lauroyl glycolate, lauroyl lactylate, sodium lauroyl lactylate, caproyl lactylate, sodium caproyl lactylate, cocoyl

Specific examples of acceptable classical surfactants include, but are not limited to Brij surfactants, (such as Brij 30, Brij 36T, Brij, 35, Brij 52), Pluronic surfactants, (such as Pluronic F68, and Pluronic L62), Span surfactants, (such as Span 20 and Span 85), Tween surfactants, (Such as Tween 20, Tween 40, and Tween 80), Poloxomer surfactants, Myrj surfactants, bile salts, sodium laurate, sodium lauryl sulfate, and mixtures thereof.

Specific examples of acceptable complexing agents include but are not limited to cyclodextrine complexes and derivatives thereof, liposomes, microcapsules, microspheres, and mixtures thereof.

Specific examples of organic acids include, but are not limited to salicylic acid, citric acid, salicylates, and mixtures thereof.

Specific examples of acceptable biologics include but are not limited to L- α -amino acids, lecithin, phospholipids, and mixtures thereof.

In addition to those enhancer substances enumerated above, many natural substances are capable of acting as permeation enhancers. These natural substances include, but are not limited to: arecoline, berbamine, berberine, camphol, capsaicin, capsaicine, capsic acid, eucalyptus (oil),

eucalyptols, ferulic acid, menthol, oleummenthae, paeonol, peppermint oil, tanshinone, and mixtures thereof.

The aconitine alkaloids used in the formulation of the present invention may be those found in many species of Aconitum plant. Examples of various aconitum species include, but are not limited to: *Aconitum sinomontanum* Nakai, *A. finetianum* Hand-Mazz., *A. episcopale* Le'vl, *A. bulleyanum* Diels, *A. coreanum* (Levl.) Raipaics, *A. tatsinenense*, *A. pendulum*, *A. japonicum* Thunberg, *A. sinense* Siebold, *A. zuccarini* Nakai, *A. Subcuneatum* Nakai, *A. aizuenense* Nakai, *A. sanyoense* Nakai, *A. napellus* Linne, *A. carmichaeli* Debeaux, *A. volubile* Pallas, *A. chinense* Paxton, *A. Fischeri* Reichenbach, *A. yesonense* Nakai, *A. Sachalinense* Fr. SCHM, *A. Koreanum* R. Raymond, *A. ferox* Wall, *A. deinorrhizum* Stapf, *A. tetraphyllum* Wall, *A. palmatum* Raymond, *A. lozyanum* R. Raymond, *A. pterocaulis* Koidz, *A. gigas* LEV. et VAN, *A. senanense* Nakai, *A. matsumurae* Nakai, *A. metajapanicum* Nakai, *A. nakusanense* Nakai, *A. yuparense* Takeda, *A. kusnezoffii* Reichenbach, *A. manshuricum* Nakai, *A. vilmorinianum* Kom., *A. paniculigerum* Nakai, *A. artemisaefolium* Bar.et Skv., *A. taipeicum* Hand-Mazz., *A. stylosum* Stapf, *A. karakolicum* Rap., *A. soongarium* Stapf,

A. hemsleyanum Pritz., *A. delavayi* Franch., *A. sungpanense* Hand.-Mazz., *A. balfourii* Stapf, *A. richardsonianum* Lauener, and *A. transsectum* Diels.

Whether synthesized, extracted, or produced by a combination of such processes, a wide variety of aconitine alkaloids may be used in the transdermal formulation of the present invention. General alkaloid types may be aconines, aconitines, aconitanes, and mixtures thereof. Specific examples of aconitine alkaloid species include without limitation, lappaconitine, N-deacetyl-lappaconitine, songtiening, bulleyaconitine A, 3-acetylaconitin, isolappaconitine, deoxylappaconitine, neofinaconitine, ranaconine, ranaconitine, N-deacetyl-ranaconitine, finaconitine, N-deacetyl-finaconitine, mesaconitine, jesaconitine, and salts, analogs, derivatives, prodrugs, and mixtures thereof. Other aconitine alkaloids considered to be within the scope of the present invention are disclosed in U.S. patent nos. 5,290,784, 5,547,956, 5,514,684, and 5,770,604, which are incorporated herein by reference in their entirety.

In addition to an aconitum alkaloid, the transdermal delivery system of the present invention may include additional analgesics for ameliorating pain and inflammation.

Such analgesics may be either narcotic or non-narcotic.

Specific examples of acceptable narcotic agents include, but are not limited to, alfentanil, benzylmorphine, codeine, desomorphine, endorphins, ethylmorphine, fentanyl, hydromorphone, laviorphanol, levomethadyl acetate, meperidine, Methadone, morphine, normorphine, normethadone, opium, oxycodone, oxymorphone, remifentanil, sufentanil, tilidine, buprenorphine, butorphanol, dexocine, eptazocine, nalbuphine, pentazocine, and salts, analogs, derivatives, and mixtures thereof.

Other analgesics for inclusion with the transdermal formulation of the present invention may be non-narcotic agents. Examples of acceptable non-narcotic agents include without limitation, acetaminophen, aspirin, clonidine, diflunisal, methotrimeprazine, salicylates, salicylic acid, tramadol, and salts, analogs, derivatives, and mixtures thereof. Further examples of acceptable non-narcotic agents include without limitation, NSAID's, such as butibufen, carprofen, celecoxib, diclofenac, diflunisal, etodolac, flurbiprofen, fennoprofen calcium, flunixin meglumine, ibuprofen, idomethacetin, ketoprofen, ketorolac tromethamine, magnesium salicylate, meclofenamate sodium, mefenamic acid, naproxen, nabumetone, oxaprozin, phenylbutazone, piroxicam,

rofecoxib, sulindac, tolmetin, tiaprofenic, and salts, analogs, derivatives, and mixtures thereof.

Specific examples of other non-narcotic agents that are suitable for inclusion in the transdermal formulation of the present invention include without limitation, melatonin, tetrahydropalmitin, ferulic acid, sinomenine, anisodin, dicentrin, anisodamin, capsaicin, glucosamine, rhynochophylla-derived alkaloids.

The aconitine alkaloid formulation of the present invention may further include other treatment agents for treating a condition or disorder with which pain is associated. Examples of such treatment agents include without limitation, anticholinergic agents, such as, adiphenine, anisotropine, atropine, benzetimide, clidinium, deptropine, dicyclomine, diponium, glycopyrrolate, hydroxyzine, orphenadrine, oxybutynin, propantheline, scopolamine, as well as salts, derivatives, analogs, prodrugs, and mixtures thereof.

Other treatment agents may include anti-migraine agents such as serotonin 5-HT receptor agonists, including, but not limited to members selected from the group consisting of: naratriptan, rizatriptan, sumatriptan, zolmitriptan, salts,

derivatives, analogs, prodrugs, and mixtures thereof. Other anti-migraines include, methylsergide maleate and ergotamine derivatives, such as dihydroergotamine mesylate, ergotamine tartrate, as well as salts, derivatives, analogs, prodrugs, and mixtures thereof.

Additional treatment agents, which may be included in the aconitine alkaloid composition of the present invention, are antiemetic/antivertigo agents. Examples of specifically acceptable antiemetics/antivertigo agents include without limitation, chlorpromazine, perphenazine, prochlorperazine, promethazine, thiethylperazine, triflupromazine, metoclopramide, benzquinamide, cannabinoids, corticosteroids, hydroxyzine HCl, diphenidol, phosphorylated carbohydrates, as well as salts, derivatives, analogs, prodrugs, and mixtures thereof.

The transdermal formulation of the present invention may also contain various other positive health-imparting agents. and salts, derivatives, analogs, and mixtures thereof.

Other analgesic substances not specifically mentioned may be used in connection with the present invention. Such analgesics include both narcotic and non-narcotic agents. Such analgesic substances, as well as other drugs and treatment agents that may be included in the aconitine

Additional analgesic substances, as other drugs and treatment agents that may be included in the aconitine alkaloid formulation of the present invention may be found in the publication "Drug Facts and Comparisons" (Jan. 2000), which is incorporated herein by reference.

Further, aconitine alkaloids may be combined with other positive health benefit conferring substances, or treatment agents, either before, during, or after its inclusion in the transdermal delivery formulation. Such positive health benefit conferring substances include but are not limited to vitamins, amino acids, minerals, herbal and botanical extracts, anti-oxidants, other materials which are essential to the body, and mixtures thereof.

Specific examples of acceptable vitamins include both water-soluble and oil soluble vitamins. Water-soluble vitamins include but are not limited to the B1, B2, B3, B4, B5, B6, B12, B13, B15, B17, biotin, choline, folic acid, inositol, para-amino benzoic acid (PABA), Vitamin C, Vitamin P, and mixtures thereof. Additionally, oil soluble vitamins include Vitamin A, Vitamin D, Vitamin E, Vitamin K and

mixtures thereof.

Specific examples of acceptable amino acids include but are not limited to alanine arginine, carnitine, gamma-aminobutyric acid (GABA), glutamine, glycine, histidine, lysine, methionine, N-acetyl cysteine, ornithine, phenylalanine, taurine, tyrosine, valine, and mixtures thereof.

Specific examples of acceptable minerals include but are not limited to calcium, potassium, iron, chromium, phosphorous, magnesium, zinc, copper and mixtures thereof, as well as any other minerals essential to the human body.

Specific examples of acceptable herbs and botanical extracts include but are not limited to Green tea plant, Causena Lansium, Crocus Sativus, Danshen (saliva miltiorrhize), Dongui (Radix angelicae sinesis), Eucommia, Evening primrose, Gastrodia elata, German chamomile, Ginseng, Gingko Baloba, Hopes, Horn goat weed (epimedium sagittatum), Kava, Lemon balm, Mishmi bitter (coptis sinesis), Morning star (Uncaria rhychophylla), Passion flower, Physostigmine, Securinega, Suffructicosa, Scutellaria baicalensis, Siberian cork tree (phellodendron amurense), Skullcap, St. John's Wort, Valerian, and mixtures thereof.

Specific examples of acceptable antioxidants include but are not limited to polyphenols such as catechin, beta-carotene, coenzyme Q10, grapnel, and mixtures thereof.

5 The aconitine alkaloids, analgesics, and other positive health benefit conveying substances, may be either produced synthetically, or harvested from plants and other natural sources by methods such as extraction and concentration. In short, the source of the delivery substance may be either artificial, natural, or a combination thereof.

10 In one aspect, the transdermal delivery formulation of the present invention may be a topical formulation. As recited above, topical formulations may take a variety of specific forms, such as gels, ointments, pastes, aerosols, creams, lotions, and other hydrophobic or water-miscible
15 vehicles. Other specific types of topical formulations not specifically mentioned will be readily recognized by those skilled in the art and fall within the purview of the present invention.

20 Specific examples of suitable hydrophobic and water-miscible agents include but are not limited, hydrocarbons (e.g. liquid paraffin, mineral oil, paraffin oil, white petrolatum, squalane), silicones (e.g. liquid polymethylsilaxanes, dimethicone), alcohols (e.g. ethanol,

isopropyl alcohol, lauryl alcohol), polyols and polyglycols (e.g. propyl glycol, glycerin, triacetin, polyethylene glycols), Sterols (e.g. lanolin, cholesterol), carboxylic acids (e.g. lauric acid, oleic acid), esters and polyesters (e.g. ethylene glycol monostearate, sorbitan monoesters, glyceryl tristearate, olive oil, soybean oil, isopropyl myristate, isopropyl palmitate).

Specific examples of suitable emulsifiers include, but are not limited to sterols and sterol eaters (e.g. cholesterol), carboxylic acid salts (sodium, ethanol amine, etc. of lauric acid, oleic acid, etc.), esters and polyesters (e.g. ethylene glycol monoesters, propylene glycol monoesters, glycerol monoesters, sorbitan monoesters, sorbitol monoesters, polyoxyethylene esters, sorbitan diesters, polyoxy ethylene sorbitan polyesters - tweens), ethers and polyethers (e.g. polyethylene glycol monocetyl ethers, polyethylene-polypropylene glycols - pluronics), others (e.g. sodium lauryl sulfate, borax, ethanolamine).

Specific examples of suitable thickeners include, but are not limited to acrylate copolymers, algin, behenyl alcohol, 18-36 acid triglycerides, calcium carboxymethyl cellulose, PVP/MA copolymers, carbomer (910, 934, 934p, 940, 941, 1342), carboxymethylcellulose sodium, cellulose, cetyl alcohol, guar

The transdermal delivery formulation of the present invention may take the form of an occlusive device, such as a transdermal patch, in order to provide an aconitine alkaloid formulation. Such a transdermal patch may either be an adhesive matrix patch, a liquid reservoir system type patch, a buccal or sublingual tablet, lozenge, or the like.

In the case of the adhesive matrix patch, an amount of an aconitine alkaloid sufficient to produce the desired therapeutic blood plasma level is dissolved or suspended in a polymeric phase or carrier. A selected permeation enhancer, or mixture of enhancers may be included in the polymeric phase, as well as additional positive health benefit imparting substances as mentioned above. The size of an adhesive matrix patch may be adjusted to provide varying dosage amounts, and may vary from about 1 to 200 cm². In another aspect, the size of an adhesive matrix patch may be from about 5 to about 100 cm².

reference in its entirety.

In one aspect, utilizing a mixture of two or more acrylic polymers may facilitate sustained release of aconitine alkaloids. Many variations and combinations of acrylics may be employed to achieve the desired increase in release duration. Examples of such combinations may be found in U.S. patent no. 6,024,976, which is incorporated herein by reference in its entirety. Other examples of such acrylic combinations will be readily recognized by those skilled in the art.

Specific examples of suitable rubber-based pressure sensitive adhesives include, but are not limited to hydrocarbon polymers, such as natural and synthetic polyisoprenes, polybutylenes and polyisobutylene (PIB), styrene/butadiene polymers, styrene-isoprene-styrene block copolymers, hydrocarbon polymers such as butyl rubber, halogen-containing polymers such as polyacrylic nitrile, polytetrafluoroethylene, polyvinyl chloride, polyvinylidene chloride, and polychlorodiene, and polysiloxanes, and other copolymers thereof.

Specific examples of suitable polysiloxanes include but are not limited to silicone pressure sensitive adhesives, which are based on two major components: a polymer, or gum,

substances, and enhancer, and should be minimally permeable to any components of the matrix patch.

Advantageously, the backing can be opaque to protect components of the matrix patch from degradation caused by exposure to ultraviolet light. Further, the backing should be capable of binding to and supporting the polymer layer, yet should be pliable to accommodate the movements of a person using the matrix patch.

Suitable materials for the backing include, but are not limited to: metal foils, metalized polyfoils, composite foils or films containing polyester such as polyester terephthalate, polyester or aluminized polyester, polytetrafluoroethylene, polyether block amide copolymers, polyethylene methyl methacrylate block copolymers, polyurethanes, polyvinylidene chloride, nylon, silicone elastomers, rubber-based polyisobutylene, styrene, styrene-butadiene, and styrene-isoprene copolymers, polyethylene, and polypropylene. A thickness of about 0.0005 to about 0.01 inch may be preferred. The release liner can be made of the same materials as the backing, or other suitable films coated with an appropriate release surface.

The matrix patch can further comprise various additives in addition to the polymer layer, delivery substances, and

permeation enhancer that are the fundamental components of the adhesive matrix patch formulation. These additives are generally those pharmaceutically acceptable ingredients that are known in the art of transdermal substance delivery and, more particularly, in the art of transdermal substance delivery. However, such additive ingredients must not materially alter the basic and novel characteristics of the matrix patch. For example, suitable diluents can include mineral oil, low molecular weight polymers, plasticizers, and the like. Many transdermal delivery substance formulations have a tendency to irritate the skin after prolonged exposure thereto, thus addition of a skin irritation reducing agent aids may be desirable.

The LRS patch generally contains a backing layer having a reservoir portion configured to contain the carrier vehicle in which the aconitine alkaloid is admixed or dissolved. Such carrier vehicles may be the same as those used for topical applications described above. Further, a micro porous membrane may be heat sealed across the opening of the reservoir in order to control the rate at which the aconitine alkaloid is transmitted to the skin. Additionally, an adhesive layer will generally be applied to a portion of the backing layer surrounding the reservoir for adhering the LRS

patch to the skin. Further, a release liner that is removed prior to application is placed upon the adhesive to prevent adhesion of the patch prior to application.

In use, the release liner is removed, and the patch is adhered to the skin at a selected application situs. When the contents of the reservoir have been depleted, the patch may be removed.

C. Examples and Experimentals

The following examples of transdermal formulations having a variety of aconitine alkaloid containing formulations are provided to promote a more clear understanding of the possible combinations of the present invention, and are in no way meant as a limitation thereon.

In vitro human cadaver skin flux studies were conducted using modified Franz non-jacketed permeation cells. The temperature of the skin surface was maintained at 32°C by placing the cells in a circulating water bath positioned over a stirring module. The epidermal membrane was separated from the human cadaver whole skin by the heat-separation method of Kligman and Christopher (*Arch. Dermatol.* 88:702 (1963)) involving the exposure of the full thickness skin to 60°C heat for 60 seconds, after which time the stratum corneum and the

epidermis (epidermal membrane) were gently peeled off the dermis.

For matrix skin flux study, the heat separated human epidermal membrane was cut into rectangular strips. The matrix was cut into 0.71 cm² circular discs. The release liner was peeled and discarded and the matrix disc was laminated onto the stratum corneum surface of the epidermal membrane. The skin-matrix sandwich was then loaded onto the diffusion cells. Each piece of the skin matrix sandwich was loaded between the donor and receiver compartments of a diffusion cell, with the epidermal side facing the receiver compartment, and clamped in place. The receiver compartment was then filled with 0.02% sodium azide aqueous solution. The solubility of the drug in this medium is adequate to ensure sink conditions throughout the experiment. The diffusion cell was then placed in a circulating water bath calibrated to maintain the skin surface temperature at 32±1°C. At predetermined sampling intervals, the entire contents of the receiver compartment were collected for drug quantitation and the receiver compartment was filled with fresh receiver solution, taking care to eliminate any air bubbles at the skin/solution interface.

For gel skin flux study, the epidermal membrane was cut and placed between two halves of the permeation cell with the stratum corneum facing the donor compartment. The skin was allowed to hydrate at 32°C overnight with 0.02% (w/v) sodium azide solution in the receiver compartment. The following morning, 75 µl of a gelled formulation was placed into a cavity created by placing a Teflon washer over the stratum corneum surface. The cavity was then occluded by clamping an occlusive backing over the Teflon washer and gel. A 0.02% sodium azide aqueous solution was placed in the receiver compartment in contact with the dermal side of the epidermis, to ensure sink conditions for the drug. At predetermined sampling intervals, the entire contents of the receiver compartment were collected for drug quantitation and the receiver compartment was filled with fresh receiver solution, taking care to eliminate any air bubbles at the skin/solution interface.

The cumulative amount of drug permeated per unit area at any time t (Q_t , $\mu\text{g}/\text{cm}^2$) was determined as follows:

$$Q_t = \sum_{n=0}^l (C_n * V) / A$$

where C_n is the concentration ($\mu\text{g/ml}$) of the drug in the receiver sample for the corresponding sample time, V is the

volume of fluid in the receiver chamber ($\sim 6.3 \text{ cm}^3$), and A is the diffusion area of the cell (0.64 cm^2). The slope of the best fit line to the Q_t vs. t plot gives the steady state flux (J_{ss} , $\mu\text{g}/\text{cm}^2/\text{hr}$); the intercept of this line on the time axis give the lag time (t_L, h).

Examples I - III include skin flux results from various embodiments of a transdermal matrix system according to the present invention containing aconitine-derived alkaloids.

10

Example I

Formulation	Composition (%, w/w)	Q_t (t=24) ($\mu\text{g}/\text{cm}^2/\text{t}$) *
Adhesive/LAP	97.5/2.5	3.7 ± 2.6
Adhesive/LAP/SMO	87.5/2.5/10	8.2 ± 4.6
Adhesive/LAP/L-DEA	87.5/2.5/10	47.9 ± 18.0
Adhesive/LAP/GMO/LA	87.5/2.5/10	7.9 ± 4.1
Adhesive/LAP/Oleic Acid	87.5/2.5/10	14.9 ± 7.4

15

20

Adhesive: pressure sensitive acrylic copolymers; LAP: Lappaconitine; SMO: Sorbitan Monooleate; L-DEA: Lauramide DEA; GMO/LA: Glycerol Monooleate/Lauryl Alcohol
*(Mean \pm SD), n=3 skins, 12 cells.

25

The above results clearly show that using penetration enhancers significantly increases the skin flux of lappaconitine when compared to a lappaconitine/adhesive matrix as a control.

30

Example II

Examples of other formulations of transdermal matrix systems containing aconitine, or aconitine-derived alkaloids and their derivatives or analogs may be as follows.

	Formulation	II-1	Composition (% , w/w)
10	Acrylic Adhesives		50.0 - 99.5
	Aconitine		0.01 - 30
	Enhancers		0.01 - 20
	Formulation	II-2	Composition (% , w/w)
15	PIB Adhesives		50.0 - 99.5
	Aconitine		0.01 - 30
	Enhancers		0.01 - 20
20	Formulation	II-3	Composition (% , w/w)
	Silicone Adhesives		50.0 - 99.5
	Aconitine		0.01 - 30
	Enhancers		0.01 - 20
25	Formulation	II-4	Composition (% , w/w)
	Acrylic Adhesive 1		1 - 99.5
	Acrylic Adhesive 2		1 - 99.5
	Aconitine		0.01 - 30
30	Enhancers		0.01 - 20
	Formulation	II-5	Composition (% , w/w)
35	Acrylic Adhesive		1 - 99.5
	PIB Adhesive		1 - 99.5
	Aconitine		0.01 - 30
	Enhancers		0.01 - 20
40	Formulation	II-6	Composition (% , w/w)
	Acrylic Adhesive		1 - 99.5
	Silicone Adhesive		1 - 99.5

Aconitine	0.01 - 30
Enhancers	0.01 - 20

Formulation II-7

Composition (% w/w)

Silicone Adhesive	1 - 99.5
PIB Adhesive	1 - 99.5
Aconitine	0.01 - 30
Enhancers	0.01 - 20

Formulation II-8

Composition (% w/w)

Eudragit Adhesive*	50.0 - 99.5
Aconitine	0.01 - 30
Enhancers	0.01 - 20
Plasticizers/Tackifiers	0.01 - 20

* A single Eudragit or mixture of different grades of Eudragits (e.g. NE 30 D, L100, L12/5, S 100, S12/5, L 30 D-55, L100-55, E 100, E12/5, RL 100, RL 12/5, R100, RL PO, RL PM, RL 30 D, RS 100, RS 12/5, RS PM, RS PO,, RS 30 D.)

Example III

The gel formulations containing 10 mg/ml lappconitine, 3% Hydroxypropyl methylcellulose and penetration enhancers were also evaluated in accordance with the above-recited protocols.

Formulation	Composition (%, v/v)	Q _t (t=24) (μg/cm ² /t) *
EtOH/H ₂ O/Gly	65/10/25	12.0 ± 9.0
EtOH/H ₂ O/Gly/GMO/LA	65/10/19/3/3	71.6 ± 40.8
EtOH/H ₂ O/Gly//L-DEA	65/10/19/6	104.5 ± 64.0
EtOH/H ₂ O/Gly/Oleic Acid	65/10/19/6	23.2 ± 11.8

EtOH = Ethanol, Gly: Glycerin; GMO: Glyceryl monooleate; LA: Lauryl alcohol; L-DEA: Lauramide DEA.

* (Mean \pm SD), n=3 skin3, 12 cells.

The above example clearly shows that penetration enhancers do enhance the flux of lappaconitine from gel type formulations.

Example IV

5 In accordance with the present invention, a hybrid
transdermal system may be employed for delivering aconitine
and aconitine-derived alkaloids. Such a hybrid system
generally contains a polymeric, or other type of reservoir
with an adhesive overlay. Bioactive agents may be contained
10 in both the reservoir and the adhesive layer. A wide variety
of substances may be used for the reservoir, and include, but
are not limited to polymers (including adhesives), solutions,
gels, emulsified gels, lotions and creams. Other variations
of such a hybrid patch, as well as other particular substances
15 for both the adhesive layer and reservoir will be readily
recognized by those skilled in the art. Examples of such
hybrid transdermal systems in accordance with the present
invention may be as follows.

20	Formulation	IV-1	Composition (% , w/w)
	<u>Matrix</u>		
	Acrylic Adhesives		50 - 99.5
	Aconitine		0 - 30
25	Enhancers		0 - 20

5

10

Composition (% , w/w)

15

Gel

20

25

Composition (% , w/w)

30

Gel

35

[illegible]

5

15

Acrylic Adhesive
Aconitine
Enhancers
Melatonin

20

29

Acrylic Adhesive
Aconitine
Enhancers
Tetrahydropalmatin (Corydalis B)

```
50 - 99.5
0.01 - 30
0.01 - 20
0.01 - 20
```

30

Acrylic Adhesive
Aconitine
Enhancers
Ferulic Acid

```
50 - 99.5
0.01 - 30
0.01 - 20
0.01 - 20
```


* One or more minerals necessary to human body can be selected, but not limited to copper, manganese, iron, zinc, calcium, magnesium, chromium, galenium, cobalt, etc.

5	Formulation	V-15	Composition (% , w/w)

Acrylic Adhesive	50 - 99.5
Aconitine	0.01 - 30
Enhancers	0.01 - 20
Herb/botanical extracts*	0.01 - 30

* Herb/botanical extracts, which are good for pain relief and drug addiction relief, can be selected from but not limited to, *Asarum L. sieboldi* Mig., Camphol, Clove (*Flos syzygii Aromatici*), *Corydalis ambigua*, Danshen (*salvia miltiorrhiza*), Dongui (*Radix angelicae sinensis*), *Forsythia suspensa* (thunb.) Vahl., Ginseng, *Ginkgo Biloba*, *Impatiens balsamina* L. Ib., *Ligusticum wallichii* Franch, Myrrha, *Olibanum*, Pearl, *Polygalaceae* L., *Speranskia tuberculata* Bail, St., St. John's Wort, Valerian, etc.

Formulation	V-16	Composition (% w/w)
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Acrylic Adhesive	50 - 99.5
Aconitine	0.01 - 30
Enhancers	0.01 - 20
Anti-oxidant*	0.01 - 20

* Anti-oxidant agents can be selected from but not limited to Polyphenols, such as Catechins, Beta-carotene, Co-enzyme Q-10, Grapnol, Vitamin C, Vitamin E, etc.

Formulation	V-17	Composition (% w/w)
1	100	100
2	100	100
3	100	100
4	100	100
5	100	100
6	100	100
7	100	100
8	100	100
9	100	100
10	100	100
11	100	100
12	100	100
13	100	100
14	100	100
15	100	100
16	100	100
17	100	100
18	100	100
19	100	100
20	100	100
21	100	100
22	100	100
23	100	100
24	100	100
25	100	100
26	100	100
27	100	100
28	100	100
29	100	100
30	100	100
31	100	100
32	100	100
33	100	100
34	100	100
35	100	100
36	100	100
37	100	100
38	100	100
39	100	100
40	100	100
41	100	100
42	100	100
43	100	100
44	100	100
45	100	100
46	100	100
47	100	100
48	100	100
49	100	100
50	100	100
51	100	100
52	100	100
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56	100	100
57	100	100
58	100	100
59	100	100
60	100	100
61	100	100
62	100	100
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65	100	100
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67	100	100
68	100	100
69	100	100
70	100	100
71	100	100
72	100	100
73	100	100
74	100	100
75	100	100
76	100	100
77	100	100
78	100	100
79	100	100
80	100	100
81	100	100
82	100	100
83	100	100
84	100	100
85	100	100
86	100	100
87	100	100
88	100	100
89	100	100
90	100	100
91	100	100
92	100	100
93	100	100
94	100	100
95	100	100
96	100	100
97	100	100
98	100	100
99	100	100
100	100	100

Acrylic Adhesive	50 - 99.5
Aconitine	0.01 - 30
Enhancers	0.01 - 20
NSAIDs*	0.01 - 20

* NSAIDs (Nonsteroidal Antiinflammatory Drugs) are selected from, but not limited to, Butibufen, Carprofen, Celecoxib, Diclofenac, Diflusal, Etodolac, Flurbiprofen, Fennoprofen calcium, Flunixin Meglumine, Ibuprofen, Indomethacin, Ketoprofen, Ketorolac tromethamine, Magnesium Salicylate,

Meclofenamate sodium, Mefenamic acid, Naproxen, Nabumetone, Oxaprozin, Phenylbutazone, Piroxicam, Rofecoxib, Sulindac, Tolmetin, and Tiaprofenic acid, etc.

5 **Formulation V-18 Composition (% , w/w)**

Acrylic Adhesive 50 - 99.5
Aconitine 0.01 - 30
Enhancer 0.01 - 20
10 Narcotic agonist analgesics* 0.01 - 20

* Narcotic agonist analgesics can be selected from, but not limited to, Alfentanil, Benzylmorphine, Codeine, Desomorphine, Endorphins, Ethylmorphine, Fentanyl, Hydromorphone, 15 Lavorphanol, Levomethadyl Acetate, Meperidine, Methadone, Morphine, Normorphine, Normethadone, Opium, Oxycodone, Oxymorphone, Remifentanil, Sufentanil, and Tilidine, etc.

20 **Formulation V-19 Composition (% , w/w)**

Acrylic Adhesive 50 - 99.5
Aconitine 0.01 - 30
Enhancers 0.01 - 20
25 Narcotic agonist-antagonist analgesics* 0.01 - 20

* Narcotic agonist-antagonist analgesics can be selected from, but not limited to, Buprenorphine, Butorphanol, Dezocine, Eptazocine, Methotrimeprazine, Nalbuphine, and Pentazocine, etc.

30 **Formulation V-20 Composition (% , w/w)**

Acrylic Adhesive 50 - 99.5
Aconitine 0.01 - 30
35 Enhancers 0.01 - 20
Anti-migraine Agents* 0.01 - 20

* Anti-migraine agents can be selected from, but not limited to, serotonin 5-HT receptor agonists, including, but not limited to naratriptan, rizatriptan, sumatriptan, 40 zolmitriptan, salts, derivatives, analogs, prodrugs, and mixtures thereof. Other anti-migraines include, methylsergide maleate and ergotamine derivatives, such as dihydroergotamine mesylate, ergotamine tartrate, etc.

1. Gel

	Formulation VI-1	Composition (% , w/w)
5	Aconitine	0.01 - 40%
	Ethanol	0 - 70%
	Propylene Glycol	0 - 50%
	Water	0 - 95%
10	Glycerin	0 - 50%
	Enhancers	0 - 20%
	Gelling Agents/thickeners	0.1- 6%

2. Cream (o/w)

	Formulation VI-2	Composition (% , w/w)
	Aconitine	0.01 - 40%
	Stearyl Alcohol	0.1 - 30%
20	Beeswax	0.1 - 20%
	Sorbitan Monooleate	0.1 - 10%
	Polysorbate 80	0.1 - 10%
	Methyl Paraben	0.01 - 2%
	Propyl Paraben	0.01 - 2%
25	Water	40-95%

3. Cream (w/o)

	Formulation VI-3	Composition (% , w/w)
30	Aconitine	0.01 - 40%
	Stearyl Alcohol	1 - 30%
	White Wax	1 - 30%
	Almond Oil	10 - 80%
35	Sodium Borate	0.1 - 5%
	Water	1 - 50%

4. Vanishing Cream

	Formulation VI-4	Composition (% , w/w)
40	Aconitine	0.01 - 40%
	Stearic Acid	0.1 - 30%
	Stearyl Alcohol	0.1 - 10%
45	Cetyl Alcohol	0.1 - 10%

	Glycerin	1 - 30%
	Methyl Paraben	0.01 - 2%
	Propyl Paraben	0.01 - 2%
	Potassium Hydroxide	0.01 - 3%
5	Water	40 - 95%

5. Lotion

	Formulation VI-5	Composition (% , w/w)
10	Aconitine	0.01 - 40%
	White Petrolatum	0.1 - 10%
	Mineral Oil	0.1 - 10%
	Propylene Glycol Stearate	0.1 - 10%
15	Stearyl Alcohol	0.1 - 10%
	Benzyl Alcohol	0.01 - 5%
	Propylene Glycol	0.1 - 20%
	Ethanol	0.1 - 50%
20	Water	40 - 95%

6. Ointment

	Formulation VI-6	Composition (% , w/w)
25	Aconitine	0.01 - 40%
	White Petrolatum	50 - 95%
	White Wax	0.1 - 10%
30	Stearyl Alcohol	0.1 - 10%
	Cholesterol	0.1 - 10%

7. Water-washable Ointment

	Formulation VI-7	Composition (% , w/w)
35	Aconitine	0.01 - 40%
	White Petrolatum	1 - 50%
	Stearyl Alcohol	1 - 50%
40	Propylene Glycol	1 - 30%
	Sodium Lauryl Sulfate	0.01 - 5%
	Methyl Paraben	0.01 - 2%
	Propyl Paraben	0.01 - 2%
45	Water	1 - 40%

Of course, it is to be understood that the above-described arrangements are only illustrative of the application of the principles of the present invention.

5 Numerous modifications and alternative arrangements may be devised by those skilled in the art without departing from the spirit and scope of the present invention and the appended claims are intended to cover such modifications and arrangements.

10 Thus, while the present invention has been described above with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiments of the invention, it will be apparent to those of ordinary skill in the art that numerous

15 modifications, including, but not limited to, variations in size, materials, shape, form, function and manner of operation, assembly and use may be made without departing from the principles and concepts set forth herein.

$$\left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ -1 & -1 \end{pmatrix}, \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & -1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ -1 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 1 & -1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ -1 & -1 \end{pmatrix} \right\} \cup \left\{ \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 1 \\ -1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ -1 & -1 \end{pmatrix}, \begin{pmatrix} 1 & -1 \\ 1 & -1 \end{pmatrix}, \begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix}, \begin{pmatrix} 1 & -1 \\ -1 & -1 \end{pmatrix}, \begin{pmatrix} -1 & -1 \\ -1 & -1 \end{pmatrix} \right\}$$

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16. A transdermal formulation as set forth in claim 1,
wherein the formulation is an adhesive matrix patch.

5 17. A transdermal formulation as set forth in claim 1,
wherein the formulation is a liquid reservoir patch.

18. A transdermal formulation as set forth in claim 1,
further comprising an additional analgesic.

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19. A transdermal formulation as set forth in claim 18,
wherein the additional analgesic is a narcotic agent.

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20. A transdermal formulation as set forth in claim 19,
wherein the narcotic agent is a member selected from the group
consisting of: alfentanil, benzylmorphine, codeine,
desomorphine, ethylmorphine, fentanyl, hydromorphone,
lavorphanol, levomethadyl acetate, meperidine, Methadone,
morphine, normorphine, normethadone, opium, oxycodone,
20 oxymorphone, remifentanil, sufentanil, tilidine, and salts,
analog, derivatives, and mixtures thereof.

22. A transdermal formulation as set forth in claim 18,
wherein the additional analgesic is a non-narcotic agent.

23. A transdermal formulation as set forth in claim 22, wherein the non-narcotic agent is a member selected from the group consisting of acetaminophen, aspirin, clonidine, methotrimeprazine, non-steroidal anti-inflammatory drugs, salicylates, salicylic acid, tramadol, and salts, analogs, derivatives, and mixtures thereof.

24. A transdermal formulation as set forth in claim 22, wherein the non-narcotic agent is a non-steroidal anti-inflammatory drug (NSAID).

25. A transdermal formulation as set forth in claim 24,
wherein the NSAID is a member selected from the group
consisting of: butibufen, carprofen, celecoxib, diclofenac,

26. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is melatonin.

27. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is tetrahydropalmatin.

28. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is ferulic acid.

29. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is sinomenine.

30. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is anisodin.

31. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is dicentrin.

32. A transdermal formulation as set forth in claim 22,
5 wherein the non-narcotic agent is anisodamin.

33. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is capsaicin.

10 34. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is glucosamine.

35. A transdermal formulation as set forth in claim 22,
wherein the non-narcotic agent is a rhynochophylla-derived
15 alkaloid.

36. A transdermal formulation as set forth in claim 1,
further comprising a treatment agent selected from the group
consisting of: anticholinergic agents, anti-migraine agents,
20 antiemetic/antivertigo agents, and mixtures thereof.

37. A transdermal formulation as set forth in claim 36,
wherein the treatment agent is an anticholinergic agent.

43. A transdermal formulation as set forth in claim 1, further including a positive health benefit imparting substance selected from the group consisting of: vitamins, minerals, amino acids, herbal and botanical extracts, anti-oxidants, and mixtures thereof.

44. A transdermal formulation as set forth in claim 43, wherein the positive health benefit imparting substance is a vitamin.

45. A transdermal formulation as set forth in claim 43, wherein the positive health benefit imparting substance is a mineral.

sufficient to achieve an aconitine alkaloid blood plasma level of from about 0.5 to about 200 ng/ml.

52. A method as set forth in claim 50, wherein the aconitine alkaloid blood plasma level is achieved within about 0.25 to about 18 hours after initiation of the aconitine alkaloid administration.

53. A method as set forth in claim 50, wherein the aconitine alkaloid blood plasma level is achieved within about 0.5 to about 12 hours after initiation of the aconitine alkaloid administration.

54. A method as set forth in claim 50, wherein the aconitine
alkaloid blood plasma level is sustained for a duration of at
least about 24-96 hours from a single transdermal
administration.

ABSTRACT OF THE DISCLOSURE

The present invention provides a composition of transdermally administered aconitine alkaloids for ameliorating pain and inflammation. In one aspect, an aconitine alkaloid is delivered in a sufficient amount to achieve and maintain a blood plasma aconitine alkaloid level of about 0.5 ng/mL to about 400 ng/mL. Aconitine alkaloids may be delivered by themselves, or in combination with other elements, such as additional analgesics, other drugs, or positive health promoting substances. Various formulations for the transdermal delivery of aconitine alkaloids are disclosed, and may include selected penetration enhancers.



POWER OF ATTORNEY

XEL HERBACEUTICALS, INC., a corporation, organized and existing under the laws of the State of Delaware, having a business address of 615 Arapeen Drive, Suite 102, Salt Lake City, Utah 84108, owner of all right, title and interest in the invention entitled **"TRANSDERMAL DELIVERY SYSTEM FOR ALKALOIDS OF ACONITUM SPECIES"** for which an application for United States Letters patent filed on November 16, 2000, under Thorpe North & Western docket No. T8345.NP and empowered to prosecute the U.S. and foreign applications on behalf of the inventors, hereby appoint as its attorneys and/or patent agents the law firm of THORPE NORTH & WESTERN, LLP, having a business address of 8180 South 700 East, Suite 200, Sandy, Utah 84070, and VAUGHN W. NORTH, Registration No. 27,930; M. WAYNE WESTERN, Registration No. 22,788; CLIFTON W. THOMPSON, Registration No. 36,947; GARRON M. HOBSON, Registration No. 41,073; WEILI CHENG, Registration No. 44,609; DAVID R. MCKINNEY, Registration No. 42,868; STEVE M. PERRY, Registration No. 45,357; GARY P. OAKESON, Registration No. 44,266; and DAVID W. OSBORNE, Registration No. 44,989, all with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

All correspondence concerning this application should be directed to:

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Dated this 14 day March, 2001.

XEL HERBACEUTICALS, INC.

By Wei Hong Xiong
WEIHONG XIONG
Its President



Declaration and Petition
Attorney Docket No T8345 NP

DECLARATION AND PETITION

I, the below named inventor, I hereby declare: that my residence, post office address, and citizenship are as stated below next to my name; that I verily believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **"TRANSDERMAL DELIVERY SYSTEM FOR ALKALOIDS OF ACONITUM SPECIES"**, the specification of which was filed on November 16, 2000, under Thorpe North & Western Attorney Docket No. T8345.NP, was part of our invention and was invented before the filing date of the original application; that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above; and that I acknowledge the duty to disclose information which is material to patentability as defined in §1.56(a) of Title 37 of the Code of Federal Regulations.

I hereby claim the benefit under §120 of Title 35 of the United States Code of the earlier filed patent application filed in the United States Patent and Trademark Office as U.S. Patent Application No.60/166,4976 filed on November 19, 1999, and insofar as the subject matter of each of the claims of these applications is not disclosed in the earlier filed pending applications in the manner provided by the first paragraph of §112 of Title 35 of the United States Code, we acknowledge the duty to disclose material information, as defined in §1.56(a) of Title 37 of the Code of Federal Regulations, which occurred between the filing date of the earlier filed applications and the filing date of this application.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by

fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

Wherefore, I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, declaration, and this petition.

Signed at SLC, Utah, this 14th day of March, 2001.

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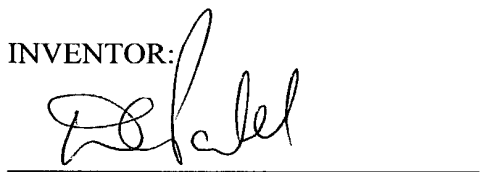
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